



Precious Metals Dealer Representation Letter

Looking for an easier, faster way to submit paperwork? Try the **SERVICENOW** option at www.StrataTrust.com/Forms
♦ E-sign and transmit directly to STRATA ♦ Safely upload supporting documentation ♦ Securely transfer data with SFTP file protocol

Use this form to onboard a new precious metals broker/dealer to STRATA Trust Company's ("STRATA's") platform.

Along with this form submission, broker and/or dealers "Dealers" are required to provide:

- **Company Filing Documents** – A copy of the formation document, or corporate filing with the state filing stamp.
- **Blank Client Agreement** - A copy of the client agreement showing both parties' rights, obligations, and expectations.

Section 1 Dealer Information

Dealer Name	Phone Number		
Dealer Address	City	State	Zip
Dealer Email Address	Dealer Website		
Year Incorporated (Attach Copy of Corporate Filing)	Tax ID Number		

Section 2 Dealer Representative's Information

STRATA requires additional information for individuals holding any Executive, Officer, or Director titles. *An attachment that includes the below fields may be provided to list additional representatives.*

(1) Representative Name	Title
Date of Birth	Social Security Number (First 4 Digits Only)
List any other Dealer you are currently or have previously been associated with:	
(2) Representative Name	Title
Date of Birth	Social Security Number (First 4 Digits Only)
List any other Dealer you are currently or have previously been associated with:	
(3) Representative Name	Title
Date of Birth	Social Security Number (First 4 Digits Only)
List any other Dealer you are currently or have previously been associated with:	
(4) Representative Name	Title
Date of Birth	Social Security Number (First 4 Digits Only)
List any other Dealer you are currently or have previously been associated with:	

Client Services 866.928.9394 | NewInvestments@StrataTrust.com | Online: www.StrataTrust.com/Service-Request



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Section 3 Depository & Delivery Requirements

In compliance with IRS rules, precious metals owned in an IRA may not be held by the IRA owner. When the client provides investment direction to STRATA for the purchase of precious metals, they will be required to select a third-party depository location for the physical storage of the IRA-owned precious metals. Learn more about [storage options](#) for precious metals.

As the precious metals dealer do you have a Corporate Depository Arrangement with either Delaware Depository® - Delaware/Nevada or ©Texas Precious Metals Depository?

☐ Yes ☐ No

If yes, please select the depository you have a Corporate Depository Arrangement with:

☐ Delaware Depository – Delaware ☐ Delaware Depository – Nevada ☐ Texas Precious Metals Depository

→ Delivery Requirements:

- Initial Transactions: After approval, "upfront delivery" is required for the Dealer's *first 10 transactions*. (This includes purchases, liquidations, and/or exchanges.)
- Subsequent Transactions: All precious metals must be delivered within 30 days of purchase. Failure to deliver on time will result in client notifications about pending purchases and may lead to delays in processing future transactions.

☐ I certify that I have read and accepted STRATA's delivery requirements.

→ Allowable Precious Metals:

IRAs can invest in IRS-permitted precious metals. To qualify, all precious metals must meet fineness requirements. Learn more about [IRA Allowable Precious Metals](#).

☐ I certify that the precious metals meet the fineness requirements and are on the IRA allowable precious metals list of accepted metals.

Section 4 Funding Instructions

***Wiring instructions will be filed for current and future funding requests.**

A Wire Fee will be charged in addition to the Investment Processing Fee. See STRATA's IRA Fee Schedule.

Bank Name	Bank Phone Number	
Bank City	Bank State	
ABA Routing Number (Must Be 9 Digits)		
For Credit To	Account Name	Account #
For Further Credit To	Account Name	Account #

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Section 5 Dealer Representations

1. Dealer agrees and represents that it will deliver precious metals to the depository within thirty (30) days of the purchase date at the appropriate address listed below:

Delaware Depository® - Delaware
 (Depository Trust Company of Delaware, LLC)
 3601 North Market Street
 Wilmington, DE 19802
 For deposit to: STRATA Trust Company
 FBO (accountholder name) IRA#

Delaware Depository® - Nevada
 (Depository Trust Company of Delaware, LLC)
 1009 Industrial Rd.
 Boulder City, NV 89005
 For deposit to: STRATA Trust Company
 FBO (accountholder name) IRA#

©Texas Precious Metals Depository
 50 CR 356
 Shiner, TX 77984
 For deposit to: STRATA Trust Company
 FBO (accountholder name) IRA#

***Failure to comply with the 30-day delivery date requirement will result in all future processing being suspended, including new account establishment and investment purchase processing.**

2. Dealer confirms that they have reviewed our list of acceptable and non-acceptable metal contents and agrees to ship only precious metals that meet our specified qualifications. The dealer is also responsible for any materials received by the depository that are deemed unacceptable or damaged. In the event of unacceptable or damaged materials, the broker will be responsible for the costs associated with their return and/or replacement.
3. Dealer agrees to deliver all liquidation proceeds (in the event an accountholder directs the sale of precious metals) via bank wire directly to STRATA as custodian within five (5) business days of Dealer's receipt of the precious metals. Dealer agrees that under no circumstances will the Dealer distribute liquidation proceeds or precious metals directly to an accountholder. Dealer hereby indemnifies STRATA and takes full responsibility for any tax, legal, or penalty damages associated with the direct distribution of monies or precious metals from the Dealer to an accountholder.
4. Dealer agrees that STRATA has not endorsed or approved the purchase or sale of precious metals in any way, and Dealer agrees that it will make no representation to the contrary. Dealer understands that STRATA does not conduct any due diligence review of a precious metals purchase, sale, or exchange. Dealer fully acknowledges that STRATA processes the purchase, sale, or exchange of precious metals and solely indicates that it meets the criteria to be deemed administratively feasible by STRATA. This means that the precious metals fit within the current operating systems and procedures that STRATA has in place. Dealer acknowledges that it will not construe STRATA's actions to process an accountholder's instructions as any endorsement or evaluation of merit, prudence, viability, or suitability of any kind; nor will it construe such processing as any indication of compliance with any sanction, legal authority, or regulatory statute. Dealer understands that STRATA may discontinue processing orders through any dealer for any reason that STRATA deems to be administratively not feasible.
5. Dealer agrees to notify STRATA and accountholders in writing of any address change, name change, dissolution, bankruptcy, or other relevant condition, including any significant change in its legal structure, if it becomes insolvent, or of pending litigation seeking damages greater than \$1,000,000.
6. Dealer agrees that if a STRATA account becomes overdrawn due to a recent deposit or precious metals funding transaction, they will work with STRATA promptly to either reverse the transaction or otherwise mitigate the matter.
7. Dealer confirms they have not been subject to any actions by the Commodity Futures Trading Commission or the Securities and Exchange Commission.
8. Dealer agrees to not use STRATA's name in any form of advertising, including print, radio, or web, or any other form of communication without the express written consent of STRATA. Dealer understands that, upon receiving verbal acceptance to process precious metals transactions by STRATA, Dealers or their agents may then direct potential investors to STRATA's website for access to STRATA's forms, including the new account applications and other materials.



Dealers Authorized Signature

Date

Printed Name of Person Signing

Title

Form Submission Options

- Fax: 512.495.9554
- Email: NewInvestments@StrataTrust.com
- US Mail: PO Box 23149, Waco, TX 76702
- Overnight: 7901 Woodway Drive, Waco, TX 76712

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