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This form is used to complete redemption and account closure requests for all Charles Schwab ("Schwab") brokerage accounts held within a STRATA Trust Company ("STRATA") IRA.

## Section 1 Account Information

Your Name		STRATA IRA Number (if known)
Social Security Number (Last 4 Digits Only)	Daytime Phone	Email Address

## Section 2 Schwab Account Request

Transaction items in accounts transferred from TD Ameritrade to Schwab are limited to those listed below. Please make your selection below.

- Redeem funds from my existing Schwab brokerage account per the instructions provided within this form.
- Redeem funds and close my Schwab account. *A request for liquidation must be submitted to Schwab prior to submitting this request.*

## Section 3 Redemption

Complete to authorize moving cash via wire from Schwab to your STRATA cash account. There must be sufficient idle cash in your Schwab account to avoid processing delays. See Schwab's Fee Schedule for applicable fees.

Schwab Account Number	Amount To Redeem
	<input type="checkbox"/> All <input type="checkbox"/> Specific Amount: _____

## Section 4 Terms and Conditions

**Important: Please read the following disclosures before signing this document.**

I direct STRATA to process my redemption or closure request for this Investment. I understand that my self-directed IRA account ("Account") will be charged or credited as appropriate with the net earnings, gains, losses, and expenses, as well as appreciations or depreciations in market value of the investments held in my Account. I also understand that my Account will be charged with any costs or expenses attributable to the establishment and/or maintenance of investments held within it. I agree to hold STRATA harmless from any liability for any loss, damage, injury, or expense which may occur as a result of the execution of this Investment Direction. I understand that STRATA will have a reasonable amount of time to complete my instructions.



\_\_\_\_\_  
Accountholder Signature

\_\_\_\_\_  
Date

### Form Submission Options (Please submit using one method below)

- Fax: 512.495.9554
- Email: [Operations@StrataTrust.com](mailto:Operations@StrataTrust.com)
- US Mail: PO Box 23149, Waco, TX 76702
- Overnight: 7901 Woodway Drive, Waco TX 76712

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