



# Account Representative Designation Request

Client Services  
866.928.9394  
512.637.5739

www.StrataTrust.com

Send to: (Please submit using one method)  
Email: AccountMaintenance@StrataTrust.com  
Fax: 512.495.9554  
US Mail: PO Box 23149, Waco, TX 76702  
Overnight: 7901 Woodway Drive, Waco, TX 76712

Please complete the information on this form if you wish to add or remove a Representative on your account.

## Section 1 Account Information

Accountholder Name		Account Number
Social Security Number (Last 4 digits only)	Daytime Phone	Email Address

## Section 2 Representative Designation

- I hereby elect to **add or designate the Representative** whose name and information is shown below. This will remove all previous designations.
- I hereby elect to **remove** the Representative which I previously designated on my Account.

Rep Name		Rep # (if applicable)	
Company Name			
Rep Address		City	State Zip
Rep Phone	Rep Fax	Rep Email	


## Section 3 Signature(s)


I understand that I have the option to designate or remove an Account Representative ("Representative") on my Account at any time. My Representative may be my financial professional, broker or other person or firm I choose. However, it may not be STRATA Trust Company ("STRATA") nor any sponsor or affiliate of an investment held within my Account. If designating a Representative, my Representative will act as my agent with regard to directives on my Account with STRATA. My Representative has the power to:

- (1) authorize instructions and investment directions on my behalf to STRATA,
- (2) receive copies of any and all correspondence related to my Account with STRATA, including, but not limited to, my Account statements, and
- (3) have unlimited access to information regarding my Account with STRATA.

I understand that my Representative is not in any way an agent, employee, representative or an affiliate of STRATA. If removing a Representative, I authorize STRATA to notify that Representative of the removal. By signing below, Accountholder and Representative acknowledge and agree to the following terms and conditions:

1. My Representative is in no way an agent, employee, representative or an affiliate of STRATA.
2. My Representative will have the power to: (1) authorize certain instructions and investment directions on behalf of my Account, (2) receive copies of any and all correspondence related to my Account, including, but not limited to, my Account statements, and (3) will have unlimited access to information regarding my Account.
3. By appointing the Representative named herein, I certify that such Representative is not an individual or firm in which my Account has invested. I understand that it is my responsibility to ensure that the Representative is independent of and in no way affiliated with the investments held in my Account. I acknowledge that STRATA is in no way responsible for determining this relationship.
4. It is my responsibility to communicate all trade and/or investment instructions to my Representative and all instructions received by my Representative (including Representative's agents, employees or broker) shall be assumed by STRATA to have been authorized by me.
5. I may change or remove my Representative at any time by communicating, in writing, my desire to do so directly to STRATA. I understand that all instructions received from my Representative prior to such removal will be executed by STRATA.
6. STRATA has made no investigation or recommendation with respect to my Representative and will not compensate my Representative, except for payments I personally instruct.
7. I agree to indemnify and hold harmless, STRATA for any loss which may result from any action or inaction it takes in accordance with any written or oral instructions received from my Representative on behalf of my Account.
8. I acknowledge and agree that STRATA is not responsible for and is not bound by any representations, warranties, statements or agreements made by my Representative.

 \_\_\_\_\_ Date \_\_\_\_\_  
Accountholder Signature

 \_\_\_\_\_ Date \_\_\_\_\_  
Accountholder's Designated Representative Signature

Internal Use Only			
IP Removed: _____	Remove from: _____ InnoTrust _____ InnoVue	Add to: _____ InnoTrust _____ InnoVue	